

PATENT APPLICATION SERIAL NO.

10-810424

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

BEST AVAILABLE COPY

07/01/2005 LLANDGRA 00000056 10540424

01 FC:1631	300.00 OP
02 FC:1632	500.00 OP
03 FC:1633	200.00 OP
04 FC:1617	130.00 OP

02 FC:1632 -500.00 OP

04/27/2006 WCLAYBRO 00000008 10540424

01 FC:1642 400.00 OP

PTO Ref ID: 156627/2006 WCLAYBRO 0009583900  
Date: 08/02/2006 Name/Number: 10540424  
FC: 9204 \$100.00 CR

U.S. APPLICATION NO (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO PCT/JP2003/016284	ATTORNEYS DOCKET NUMBER 12480-000129/US		
<b>107540424</b> <b>NEW APPLICATION</b>		<b>CALCULATIONS PTO USE ONLY</b>			
21. <input checked="" type="checkbox"/> The following fees are submitted:					
<b>PCT FEES - NATIONAL STAGE:</b>					
<u>Fee Code</u>	<u>Description</u>	<u>Fee</u>			
1631	Basic National Stage Fee.....	\$300.00			
1632	National Stage Search Fee.....	\$500.00			
1633	National Stage Examination Fee.....	\$200.00			
<b>ENTER APPROPRIATE FEE AMOUNT =</b>			\$ 1000.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 Months from the earliest claimed priority date (37 CFR 1.492(e)).			\$ 130.00		
<b>PAGES</b>	<b>PAGES FILED</b>	<b>EXTRA PAGES FILED IN 50 PAGE SEGMENTS</b>	<b>RATE</b>		
Total Pages	51 - 100 =	0	X 250.00	\$ 0.00	
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>		
Total Claims	11 - 20 =	0	X \$50.00	\$ 0.00	
Independent Claims	1 - 3 =	0	X \$200.00	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$ 0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>			\$ 1130.00		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.			\$		
<b>SUBTOTAL =</b>			\$ 1130.00		
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 Months from the earliest claimed priority date (37 CFR 1.492(f)).			\$		
<b>TOTAL NATIONAL FEE =</b>			\$ 1130.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$ 0.00		
<b>TOTAL FEES ENCLOSED =</b>			\$ 1130.00		
			<b>Amount to be: refunded</b>	\$	
			<b>charged</b>	\$	
a. <input checked="" type="checkbox"/> A check in the amount of <u>\$1130.00</u> to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. 08-0750 in the amount of \$ _____ to cover the above fees. A triplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>08-0750</u> .					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
Send all correspondence to: <b>Harness, Dickey &amp; Pierce, P.L.C – Customer No. 30593 Post Office Box 8910 Reston, Virginia 20195</b>					
Date: June 24, 2005					By  Donald J. Daley, Reg. No. 34,313
DJD/let					

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK <small>(Modifed)</small>		ATTORNEY'S DOCKET NUMBER 12480-000129/US
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>NEW APPLICATION</b> EXPRESS MAIL BARCODE NO. <b>107340424</b> N/A
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/JP2003/016284	December 18, 2003	December 27, 2002
<b>TITLE OF INVENTION</b> <b>AQUEOUS HUMOR DRAINAGE IMPLANT FOR GLAUCOMA TREATMENT</b>		
<b>APPLICANT(S) FOR DO/EO/US</b> Seisuke TAKASHIMA and Yuki MORIZANE		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1).</li> <li><input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). WO 2004/060219 A1</li> <li><input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> is transmitted herewith.</li> <li><input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4)</li> </ol> </li> <li><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).             <ol style="list-style-type: none"> <li><input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input type="checkbox"/> have been transmitted by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li><input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
Items 11. to 20. below concern document(s) or information included:		
<ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98-1449, International Search Report (PCT/ISA/210), International Preliminary Examination Report (PCT/IPEA/409) and PTO Form 1449 listing Five (5) References</li> <li><input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li><input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.</li> <li><input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li><input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li><input checked="" type="checkbox"/> Other items or information:             <ol style="list-style-type: none"> <li>1) PCT Forms</li> <li>2) Three (3) Sheets of Formal Drawings</li> <li>3) Amended Sheet Letter w/Art. 34 Amendments (6 pages)</li> </ol> </li> </ol>		